CapTech®

Part II – Cost Proposal	
RFP Title:	Workers' Compensation Regulatory Software System
RFP:	3212
Vendor Name:	CapTech Ventures, Inc. Attn: Adam Hofheimer, Managing Director
Address:	7100 Forest Avenue, Second Floor Richmond, Virginia 23266 Email: AHofheimer@captechconsulting.com Phone: (804) 545-3384
Opening Date:	11/18/15
Opening Time:	2:00 PM

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Others Talk,
We Listen.

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II. Tab II: Cost Proposal *(RFP 11.4.3.2; 7)*

RFP 11.4.3.2: "Cost proposal must be in the format identified in Attachment J, Project Costs."

Please find a completed Attachment J (Project Costs) on the pages that follow. The prices remain in effect for 180 calendar days after the proposal due date.

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COST PROPOSAL INSTRUCTIONS

Contents of the cost proposal must be as follows:

1. Tab I - Title Page

The title page must include the following:

A.	Cost Proposal for:		***Workers' Compensation Regulatory Software System***
B.	RFP:		***3212***
C.	Proposer Information:	Name:	CapTech Ventures, Inc.
		Address:	7100 Forest Avenue, Second Floor Richmond, VA 23266
D.	Proposal opening date:		***November 18, 2015***
E.	Proposal opening time:		***2:00 PM***

2. Tab II - Cost Proposal

A. Cost proposal must be in the format identified in ***Section 7, Project Costs*** .

C. Proposers must provide a CD of their cost proposal within the master cost proposal.

3. Tab III - Cost Proposal Certification of Compliance with Terms and Conditions of RFP

B. Proposers must include ***Attachment L, Cost Proposal Certification of Compliance with Terms and Conditions of RFP*** for ***Section 7, Project Costs*** within this section.

7.1 COST SCHEDULES

The cost for each deliverable must be complete and include all expenses, including travel, per diem and out-of-pocket expenses as well as administrative and/or overhead expenses. Detailed backup must be provided for all cost schedules completed.

7.1.1 Detailed Deliverable Cost Schedule

The schedules have been set-up so that the sub-total from each deliverable cost schedule will automatically be transferred to the summary table in ***Section 7.1.7, Summary Schedule of Project Costs.***

However, it is ultimately the proposer's responsibility to make sure that all totals are correctly transferred to the summary table in ***Section 7.1.7, Summary Schedule of Project Costs*** prior to submitting their cost proposal.

Deliverable Number		Description of Deliverable	Activity Number	Cost
5.4	**Planning and Administration Deliverables**			
	5.4.3.1	Detailed Project Plan		$200,000.00
	5.4.3.3	Risk Management Plan (Note: Vendor not to charge for this deliverable, include cost in other deliverables)		**NO CHARGE**
		Subtotal for 5.4 - Planning and Administration		***$200,000.00***
5.6	**BPR and Detailed Requirements**			
	5.6.2.1	Report of To-Be business process flows, with description of underlying technology used to streamline processes.		$250,000.00
	5.6.2.2	DIR-WCS detailed system requirements report.		$250,000.00
		Subtotal for 5.6 - BPR and Detailed Requirements		***$500,000.00***
5.7	**System Design/Implementation Configuration**			
	5.7.2.1	System design documentation and prototypes		$150,000.00
	5.7.2.2	Gap/Fit Analysis (if a Commercial Off-The-Shelf software solution is proposed. If it is a custom solution, then this deliverable may not be relevant)		N/A

Deliverable Number		Description of Deliverable	Activity Number	Cost
		Subtotal for 5.7 - System Design/Implementation Configuration		***$150,000.00***
5.8	**Build and Implement System**			
	5.8.3.1.1	(Sprint 1) Demonstration/pilot/walk-through of system components.		$50,000.00
	5.8.3.1.2	(Sprint 2) Demonstration/pilot/walk-through of system components.		$50,000.00
	5.8.3.1.3	(Sprint 3) Demonstration/pilot/walk-through of system components.		$50,000.00
	5.8.3.1.4	(Sprint 4) Demonstration/pilot/walk-through of system components.		$50,000.00
	5.8.3.1.5	(Sprint 5) Demonstration/pilot/walk-through of system components.		$50,000.00
	5.8.3.2.1	(Sprint 1) Deliver fully functional system that the vendor has fully tested.		$50,000.00
	5.8.3.2.2	(Sprint 2) Deliver fully functional system that the vendor has fully tested.		$50,000.00
	5.8.3.2.3	(Sprint 3) Deliver fully functional system that the vendor has fully tested.		$50,000.00
	5.8.3.2.4	(Sprint 4) Deliver fully functional system that the vendor has fully tested.		$50,000.00
	5.8.3.2.5	(Sprint 5) Deliver fully functional system that the vendor has fully tested.		$50,000.00
	5.8.3.3	Walk-through of entire system prior to UAT.		$50,000.00
		Subtotal for 5.8 - Build and Implement System		***$550,000.00***
5.9	**Data Migration**			
	5.9.3.1	Detailed data migration plan.		$50,000.00
	5.9.3.2	Test cases for validating converted data (ensure accuracy of data migration).		$50,000.00
	5.9.3.3.1	Migrate data to test environment		$50,000.00
	5.9.3.3.2	Migrate data to production environments		$50,000.00
		Subtotal for 5.9 - Data Migration		***$200,000.00***

Deliverable Number		Description of Deliverable	Activity Number	Cost
5.10	**User Acceptance Testing**			
	5.10.3.1.1	(Sprint 1) Test environment and fully functioning system, including test data (vendor to have performed comprehensive system testing).		$25,000.00
	5.10.3.1.2	(Sprint 2) Test environment and fully functioning system, including test data (vendor to have performed comprehensive system testing).		$25,000.00
	5.10.3.1.3	(Sprint 3) Test environment and fully functioning system, including test data (vendor to have performed comprehensive system testing).		$25,000.00
	5.10.3.1.4	(Sprint 4) Test environment and fully functioning system, including test data (vendor to have performed comprehensive system testing).		$25,000.00
	5.10.3.1.5	(Sprint 5) Test environment and fully functioning system, including test data (vendor to have performed comprehensive system testing).		$25,000.00
	5.10.3.2.1	(Sprint 1) Vendor training of UAT team so that they understand the system to a level necessary to complete UAT.		$25,000.00
	5.10.3.2.2	(Sprint 2) Vendor training of UAT team so that they understand the system to a level necessary to complete UAT.		$25,000.00
	5.10.3.2.3	(Sprint 3) Vendor training of UAT team so that they understand the system to a level necessary to complete UAT.		$25,000.00
	5.10.3.2.4	(Sprint 4) Vendor training of UAT team so that they understand the system to a level necessary to complete UAT.		$25,000.00
	5.10.3.2.5	(Sprint 5) Vendor training of UAT team so that they understand the system to a level necessary to complete UAT.		$25,000.00
	5.10.3.3	Review of DIR-WCS's UAT plan and cases.		$50,000.00
	5.10.3.4.1	(Sprint 1) Technical support and timely remediation of defects, data and system issues throughout the UAT.		$25,000.00
	5.10.3.4.2	(Sprint 2) Technical support and timely remediation of defects, data and system issues throughout the UAT.		$25,000.00
	5.10.3.4.3	(Sprint 3) Technical support and timely remediation of defects, data and system issues throughout the UAT.		$25,000.00

Deliverable Number		Description of Deliverable	Activity Number	Cost
	5.10.3.4.4	(Sprint 4) Technical support and timely remediation of defects, data and system issues throughout the UAT.		$25,000.00
	5.10.3.4.5	(Sprint 5) Technical support and timely remediation of defects, data and system issues throughout the UAT.		$25,000.00
		Subtotal for 5.10 - User Acceptance Testing		***$425,000.00***
5.11	**Documentation**			
	5.11.3.1	User manual.		$25,000.00
	5.11.3.2	Online help functionality and content.		$25,000.00
	5.11.3.3	System administration/operations guide.		$25,000.00
		Subtotal for 5.11 - Documentation		***$75,000.00***
5.12	**Training**			
	5.12.3.1	Training plan.		$35,000.00
	5.12.3.2	Training materials and scenarios.		$25,000.00
	5.12.3.3	Fully functional training environment with data.		$20,000.00
	5.12.3.4	Training.		$25,000.00
		Subtotal for 5.12 - Training		***$105,000.00***

Deliverable Number		Description of Deliverable	Activity Number	Cost
5.13	**Transition to Production**			
	5.13.3.1	Production transition plan.		$25,000.00
	5.13.3.2	Final conversion of legacy data to new system.		$25,000.00
	5.13.3.3	Technical support after go-live for at least two weeks.		$25,000.00
		Subtotal for 5.13 - Transition to Production		***$75,000.00***
5.14	**Post Implementation Review**			
	5.14.3.1	Post Implementation Review Plan.		$25,000.00
	5.14.3.2	Execute Plan.		$20,000.00
	5.14.3.3	Resolution of system and vendor operational issues.		$20,000.00
		Subtotal for 5.14 -Post Implementation Review		***$65,000.00***
5.15	**Warranty and Corrective Maintenance Deliverables**			
	5.15.3.1.1	Timely corrective action on all system defects.		$7,500.00
	5.15.3.1.2	Timely corrective action on all system defects.		$7,500.00
	5.15.3.1.3	Timely corrective action on all system defects.		$7,500.00
	5.15.3.1.4	Timely corrective action on all system defects.		$7,500.00
	5.15.3.1.5	Timely corrective action on all system defects.		$7,500.00
	5.15.3.1.6	Timely corrective action on all system defects.		$7,500.00
	5.15.3.1.7	Timely corrective action on all system defects.		$7,500.00
	5.15.3.1.8	Timely corrective action on all system defects.		$7,500.00
	5.15.3.1.9	Timely corrective action on all system defects.		$7,500.00
	5.15.3.1.10	Timely corrective action on all system defects.		$7,500.00
	5.15.3.1.11	Timely corrective action on all system defects.		$7,500.00
	5.15.3.1.12	Timely corrective action on all system defects.		$7,500.00
	5.15.3.2	User support.		$0.00
	5.15.3.3	Release notes.		$0.00
		Subtotal for 5.15 - Warranty and Corrective Maintenance Deliverables		***$90,000.00***
		Total Section 7.1.1 Detailed Deliverable Cost Schedules		***$2,435,000.00***

7.1.2 Development and Data Conversion Environments

Proposers must identify costs for any hardware and/or software proposed for the Development and Data Conversion Environments, as follows:

7.1.2.1 The schedule has been set up so that the sub-total from this cost schedule will automatically be transferred to the summary table in ***Section 7.1.7, Summary Schedule of Project Costs.***

However, it is ultimately the proposer's responsibility to make sure that all totals are correctly transferred to the summary table in ***Section 7.1.7, Summary Schedule of Project Costs*** prior to submitting their cost proposal.

7.1.2.2 Proposers must provide a detailed description and cost for each proposed item.

7.1.2.3 The State reserves the right not to accept the proposed hardware and/or software.

7.1.2.4 Costs for specific licenses must be provided.

7.1.2.5 The State reserves the right not to purchase the proposed hardware and/or software from the successful proposer.

Item #	Description of Proposed Hardware and/or Software for the Development and Data Conversion Environments	Cost
1	* (4) Microsoft Windows Server 2012 R2 2-core pack (Web-Standard edition, database-Enterprise edition) * Internet Information Services * Microsoft .NET * ASP.NET MVC * ASP.NET Web API * Entity Framework	N/A
2	(56) SQL Server CALs (for internal WCS users)	N/A
3	* (4) SQL Server (Enterprise) * SQL Server Reporting Services * SQL Server Integration Services * SQL Server Analysis Services	N/A
4	Aspose - Words (letter template generation)	N/A
5	Database Server : Dell R730, Intel Xeon v3 3.5 GHZ (4 core), 64 GB (4 x 16GB), RAID 10; 800GB Usable (4 x 400GB SSD), Windows Server 2012 R2 Standard (shared between DEV, TEST and Training)	N/A
6	Web Server: Dell R630 , Intel Xeon v3 3.0 GHZ (4 core), 16GB (2 x 8GB), Raid 10; 300GB Usable (2 x 300GB 10k RPM), Windows Server 2012 R2 Standard	N/A
7		

8	**Note - Appendix K Assumption: "The State has space on their existing virtual infrastructure; the servers that are retired from the old application will cover and be used for the new one. Therefore, no new hardware is required as part of this project."*	
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	SUB-TOTAL FOR 7.1.2	$0.00

7.1.3 Integration, System Test and UAT Environments

Proposers must identify costs for any hardware and/or software proposed for the Integration, System Test and UAT Environments, as follows:

7.1.3.1 The schedule has been set up so that the sub-total from this cost schedule will automatically be transferred to the summary table in ***Section 7.1.7, Summary Schedule of Project Costs.***

However, it is ultimately the proposer's responsibility to make sure that all totals are correctly transferred to the summary table in ***Section 7.1.7, Summary Schedule of Project Costs*** prior to submitting their cost proposal.

7.1.3.2 Proposers must provide a detailed description and cost for each proposed item.

7.1.3.3 The State reserves the right not to accept the proposed hardware and/or software.

7.1.3.4 Costs for specific licenses must be provided.

7.1.3.5 The State reserves the right not to purchase the proposed hardware and/or software from the successful proposer.

Item #	Description of Proposed Hardware and/or Software for the Integration, System Test and UAT Environments	Cost
1	* (4) Microsoft Windows Server 2012 R2 2-core pack (Web-Standard edition, database-Enterprise edition) * Internet Information Services * Microsoft .NET * ASP.NET MVC * ASP.NET Web API * Entity Framework	N/A
2	(56) SQL Server CALs (for internal WCS users)	N/A
3	* (4) SQL Server (Enterprise) * SQL Server Reporting Services * SQL Server Integration Services * SQL Server Analysis Services	N/A
4	Aspose - Words (letter template generation)	N/A
5	Database Server : Dell R730, Intel Xeon v3 3.5 GHZ (4 core), 64 GB (4 x 16GB), RAID 10; 800GB Usable (4 x 400GB SSD), Windows Server 2012 R2 Standard (shared between DEV, TEST and Training)	N/A
6	Web Server: Dell R630 , Intel Xeon v3 3.0 GHZ (4 core), 16GB (2 x 8GB), Raid 10; 300GB Usable (2 x 300GB 10k RPM), Windows Server 2012 R2 Standard	N/A
7		

8	**Note - Appendix K Assumption: "The State has space on their existing virtual infrastructure; the servers that are retired from the old application will cover and be used for the new one. Therefore, no new hardware is required as part of this project."*	
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	SUB-TOTAL FOR 7.1.3	$0.00

7.1.4 Training Environment

Proposers must identify costs for any hardware and/or software proposed for the Training Environment, as follows:

7.1.4.1 The schedule has been set up so that the sub-total from this cost schedule will automatically be transferred to the summary table in ***Section 7.1.7, Summary Schedule of Project Costs.***

However, it is ultimately the proposer's responsibility to make sure that all totals are correctly transferred to the summary table in ***Section 7.1.7, Summary Schedule of Project Costs*** prior to submitting their cost proposal.

7.1.4.2 Proposers must provide a detailed description and cost for each proposed item.

7.1.4.3 The State reserves the right not to accept the proposed hardware and/or software.

7.1.4.4 Costs for specific licenses must be provided.

7.1.4.5 The State reserves the right not to purchase the proposed hardware and/or software from the successful proposer.

Item #	Description of Proposed Hardware and/or Software for the Training Environment	Cost
1	* (4) Microsoft Windows Server 2012 R2 2-core pack (Web-Standard edition, database-Enterprise edition) * Internet Information Services * Microsoft .NET * ASP.NET MVC * ASP.NET Web API * Entity Framework	N/A
2	(56) SQL Server CALs (for internal WCS users)	N/A
3	* (4) SQL Server (Enterprise) * SQL Server Reporting Services * SQL Server Integration Services * SQL Server Analysis Services	N/A
4	Aspose - Words (letter template generation)	N/A
5	Database Server : Dell R730, Intel Xeon v3 3.5 GHZ (4 core), 64 GB (4 x 16GB), RAID 10; 800GB Usable (4 x 400GB SSD), Windows Server 2012 R2 Standard (shared between DEV, TEST and Training)	N/A
6	Web Server: Dell R630 , Intel Xeon v3 3.0 GHZ (4 core), 16GB (2 x 8GB), Raid 10; 300GB Usable (2 x 300GB 10k RPM), Windows Server 2012 R2 Standard	N/A
7		

8	**Note - Appendix K Assumption: "The State has space on their existing virtual infrastructure; the servers that are retired from the old application will cover and be used for the new one. Therefore, no new hardware is required as part of this project."*	
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	SUB-TOTAL FOR 7.1.4	$0.00

7.1.5 Production Environment

Proposers must identify costs for any hardware and/or software proposed for the Production Environment, as follows:

7.1.5.1 The schedule has been set up so that the sub-total from this cost schedule will automatically be transferred to the summary table in ***Section 7.1.7, Summary Schedule of Project Costs.***

However, it is ultimately the proposer's responsibility to make sure that all totals are correctly transferred to the summary table in ***Section 7.1.7, Summary Schedule of Project Costs*** prior to submitting their cost proposal.

7.1.5.2 Proposers must provide a detailed description and cost for each proposed item.

7.1.5.3 The State reserves the right not to accept the proposed hardware and/or software.

7.1.5.4 Costs for specific licenses must be provided.

7.1.5.5 The State reserves the right not to purchase the proposed hardware and/or software from the successful proposer.

Item #	Description of Proposed Hardware and/or Software for the Production Environment	Cost
1	* (4) Microsoft Windows Server 2012 R2 2-core pack (Web-Standard edition, database-Enterprise edition) * Internet Information Services * Microsoft .NET * ASP.NET MVC * ASP.NET Web API * Entity Framework	$48,392.00
2	(56) SQL Server CALs (for internal WCS users)	$2,968.00
3	* (4) SQL Server (Enterprise) * SQL Server Reporting Services * SQL Server Integration Services * SQL Server Analysis Services	$2,800.00
4	Aspose - Words (letter template generation)	$5,000.00
5	Database Server (2): Dell R730, Intel Xeon v3 3.5 GHZ (4 core), 64 GB (4 x 16GB), RAID 10; 800GB Usable (4 x 400GB SSD), Windows Server 2012 R2 Standard	N/A
6	Web Server (2): Dell R630 , Intel Xeon v3 3.0 GHZ (4 core), 16GB (2 x 8GB), Raid 10; 300GB Usable (2 x 300GB 10k RPM), Windows Server 2012 R2 Standard	N/A
7		

8	**Note - Appendix K Assumption: "The State has space on their existing virtual infrastructure; the servers that are retired from the old application will cover and be used for the new one. Therefore, no new hardware is required as part of this project."*	
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	SUB-TOTAL FOR 7.1.5	$59,160.00

7.1.6 Other Associated Costs

Proposers must identify any other costs not covered on the Detailed Deliverable Cost Schedules and/or the specific cost scheudles for any hardware and/or software proposes, as follows:

7.1.6.1 The schedule has been set up so that the sub-total from this cost schedule will automatically be transferred to the summary table in ***Section 7.1.7, Summary Schedule of Project Costs.***

However, it is ultimately the proposer's responsibility to make sure that all totals are correctly transferred to the summary table in ***Section 7.1.7, Summary Schedule of Project Costs*** prior to submitting their cost proposal.

7.1.6.2 Proposers must provide detailed information for each item identified.

Item #	Description of Other Associated Costs	Cost
1	RFP 13.3.12.6: Escrow Account (not a requirement to include an escrow account)	$0.00
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	SUB-TOTAL FOR 7.1.5	$0.00

7.1.7 Summary Schedule of Project Costs

Sub-totals from each of the previous cost schedules must be transferred to the following summary schedule of project costs.

Deliverable or Cost Schedule Number	Summary of Total Project Costs	Cost
5.4	Planning and Administration Deliverables	$200,000.00
5.6	BPR and Detailed Requirements	$500,000.00
5.7	System Design/Implementation Configuration	$150,000.00
5.8	Build and Implement System	$550,000.00
5.9	Data Migration	$200,000.00
5.10	User Acceptance Testing	$425,000.00
5.11	Documentation	$75,000.00
5.12	Training	$105,000.00
5.13	Transition to Production	$75,000.00
5.14	Post Implementation Review	$65,000.00
5.15	Warranty and Corrective Maintenance Deliverables	$90,000.00
	Sub-Total of Project Tasks	$2,435,000.00
7.1.2	Development and Data Conversion Environments	$0.00
7.1.3	Integration, System Test and UAT Environments	$0.00
7.1.4	Training Environment	$0.00
7.1.5	Production Environment	$59,160.00
	Sub-Total of Proposed Hardware and/or Software	$59,160.00
7.1.6	Other Associated Costs	$0.00
	Sub-Total of Other Associated Costs	$0.00
	Total Project Costs	***$2,494,160.00***

7.1.8 Hourly Rate Schedule for Change Orders

7.1.8.1 Prices quoted for change orders/regulatory changes must remain in effect for six (6) months after State acceptance of the successfully implemented system.

7.1.8.2 Proposers must provide firm, fixed hourly rates for change orders/regulatory changes, including updated documentation.

7.1.8.3 Proposers must provide a firm, fixed hourly rate for each staff classification identified on the project. Proposers must not provide a single compilation rate.

Classification Title	Hourly Rate
Project Manager	$170.00
Business Systems Analyst/User Experience/Tester	$145.00
Technical Architect	$185.00
.NET Developers	$155.00
Data Architect	$155.00
Visual Designer	$140.00
Training/OCM Lead	

7.1.19 Annual Product Licensing and Maintenance Schedule

7.1.9.1 Proposers must provide a three (3) year fee schedule with the following information:

- Listing of each product;
- Original project proposed price;
- Annual licensing fee, if any;
- Annual maintenance fee, if any; and
- Percentages of the original amount for each fee.

A. Year 1

Item #	Product Description	Cost	Annual Licensing Fee, if applicable	Annual Maintenance Fee	Percentage of the Original Amount
1	* (4) Microsoft Windows Server 2012 R2 2-core pack (Web-Standard edition, database-Enterprise edition) * Internet Information Services * Microsoft .NET * ASP.NET MVC * ASP.NET Web API * Entity Framework	$48,392.00	N/A	N/A	N/A
2	(56) SQL Server CALs (for internal WCS users)	$2,968.00	N/A	N/A	N/A
3	* (4) SQL Server (Enterprise) * SQL Server Reporting Services * SQL Server Integration Services * SQL Server Analysis Services	$2,800.00	N/A	N/A	N/A
4	Aspose - Words (letter template generation)	$5,000.00	N/A	N/A	N/A

7.1.19 Annual Product Licensing and Maintenance Schedule

B. Year 2

Item #	Product Description	Cost	Annual Licensing Fee, if applicable	Annual Maintenance Fee	Percentage of the Original Amount
1	* (4) Microsoft Windows Server 2012 R2 2-core pack (Web-Standard edition, database-Enterprise edition) * Internet Information Services * Microsoft .NET * ASP.NET MVC * ASP.NET Web API * Entity Framework	$48,392.00	N/A	N/A	N/A
2	(56) SQL Server CALs (for internal WCS users)	$2,968.00	N/A	N/A	N/A
3	* (4) SQL Server (Enterprise) * SQL Server Reporting Services * SQL Server Integration Services * SQL Server Analysis Services	$2,800.00	N/A	N/A	N/A
4	Aspose - Words (letter template generation)	$5,000.00	N/A	N/A	N/A

7.1.19 Annual Product Licensing and Maintenance Schedule

C. Year 3

Item #	Product Description	Cost	Annual Licensing Fee, if applicable	Annual Maintenance Fee	Percentage of the Original Amount
1	* (4) Microsoft Windows Server 2012 R2 2-core pack (Web-Standard edition, database-Enterprise edition) * Internet Information Services * Microsoft .NET * ASP.NET MVC * ASP.NET Web API * Entity Framework	$48,392.00	N/A	N/A	N/A
2	(56) SQL Server CALs (for internal WCS users)	$2,968.00	N/A	N/A	N/A
3	* (4) SQL Server (Enterprise) * SQL Server Reporting Services * SQL Server Integration Services * SQL Server Analysis Services	$2,800.00	N/A	N/A	N/A
4	Aspose - Words (letter template generation)	$5,000.00	N/A	N/A	N/A

III. Tab III: Attachment K, Cost Proposal Certification of Compliance with Terms and Conditions of RFP (RFP 11.4.3.3)

RFP 11.4.3.3.A: "Attachment K with an original signature by an individual authorized to bind the organization must be included in this tab."

RFP 11.4.3.3.B: "In order for any cost exceptions and/or assumptions to be considered, vendors must provide the specific language that is being proposed on Attachment K."

RFP 11.4.3.3.C: "Only cost exceptions and/or assumptions should be identified on Attachment K."

RFP 11.4.3.3.D: "Do not restate the technical exceptions and/or assumptions on this form."

RFP 11.4.3.3.E: "The State will not accept additional exceptions and/or assumptions if submitted after the proposal submission deadline. If vendors do not specify any exceptions and/or assumptions in detail at time of proposal submission, the State will not consider any additional exceptions and/or assumptions during negotiations."

Please see the pages that follows for a completed Attachment K.

This proposal and the estimates to perform work under this agreement are based on an agreed upon set of assumptions. CapTech based the estimates relating to schedule, effort, and cost on our current knowledge of the technical environment and a set of key assumptions and experiences with other projects of similar size and scope. CapTech and the State understood by that the actual schedule and project cost/work effort are based upon the continuing accuracy of these assumptions. We will manage revisions to these assumptions through the standard project Change Management process and may result in adjustments to the project scope, estimated project schedule, and cost.

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ATTACHMENT K – COST PROPOSAL CERTIFICATION OF COMPLIANCE WITH TERMS AND CONDITIONS OF RFP

I have read, understand and agree to comply with ***all*** the terms and conditions specified in this Request for Proposal.

YES	X	I agree to comply with the terms and conditions specified in this RFP, with documented exceptions attached.
NO		I do not agree to comply with the terms and conditions specified in this RFP.

If the exception and/or assumption require a change in the terms in any section of the RFP, the contract, or any incorporated documents, vendors ***must*** provide the specific language that is being proposed in the tables below. If vendors do not specify in detail any exceptions and/or assumptions at time of proposal submission, the State will not consider any additional exceptions and/or assumptions during negotiations. ***Note: Only cost exceptions and/or assumptions should be identified on this attachment. Do not restate the technical exceptions and/or assumptions on this attachment.***

CapTech Ventures, Inc.

Company Name

Signature

Steve Holdych, COO

Print Name

11/13/2015

Date

Vendors MUST use the following format. Attach additional sheets if necessary.

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EXCEPTION SUMMARY FORM

Proposed deletions are shown by striking through the applicable language, and proposed additions are shown by underlining the applicable language.

EXCEPTION #	RFP SECTION NUMBER	RFP PAGE NUMBER	EXCEPTION (Complete detail regarding exceptions must be identified)
N/A	N/A	N/A	N/A

ASSUMPTION SUMMARY FORM

ASSUMPTION #	RFP SECTION NUMBER	RFP PAGE NUMBER	ASSUMPTION (Complete detail regarding assumptions must be identified)
1	Attachment J, Cost Spreadsheet	N/A	WCS developers have MSDN subscriptions as part of their Microsoft Enterprise Agreement (this will allow any non-Production environments to be at no cost)
2	Attachment J, Cost Spreadsheet	N/A	The State has space on their existing virtual infrastructure; the servers that are retired from the old application will cover and be used for the new one. Therefore, no new hardware is required as part of this project.
3	Attachment J, Cost Spreadsheet	N/A	If additional software beyond what was specified in our cost spreadsheet is required as a result of the Business Process Re-engineering process, this will require a change order. The software costs included in our fixed priced are based on our current understanding of the project scope and need.
4	Attachment J, Cost Spreadsheet	N/A	If additional software beyond what was specified in our cost spreadsheet is required as a result of the Business Process Re-engineering process, this will require a change order. The software costs included in our fixed priced are based on our current understanding of the project scope and need. Our cost estimate includes 560 days for the development team to work on the 5 Sprints (4 developers for 28 weeks). If the scope of the project has to be readdressed, we will re-estimate the development work to determine what can be addressed during the 28 weeks for a development team of 4. Given the current requirements and our assumptions, we believe that the following areas will take the number of developer days listed below. This will be adjusted based on the results of the BPR and the reprioritization decisions from WCS.

Area	Developer Days
Employer Compliance Unit	120
Insurer/TPA Compliance Unit	120
Medical Unit	60
Ed, Research and Analysis Unit	120
Benefit Penalty Investigations	40
Subsequent Injury Coordinator	40
Uninsured Employers Claims Coordinator	20
Claims Data Collection & Industry Requirements	40
TOTAL	**560**

5	Amendment 1 (Question 45)	11	Per the answer this this question, CapTech assumes for pricing purposes that an Escrow account will **not** be required for this project. We have therefore included $0 for this line item.

This document must be submitted in Tab III of vendor's cost proposal.
This form MUST NOT be included in the technical proposal.